

02/27/01  
JC825 U.S. PTO

UTILITY  
PATENT APPLICATION  
TRANSMITTAL

Our Docket No.: **S697.12-0027**

Date: **Herewith**

First Named Inventor: **William J. Swanson**

Title: **FILAMENT CASSETTE AND LOADING SYSTEM**

Express Mail No.: **EL176998425US**

JC997 U.S. PTO  
09/804401  
02/27/01

APPLICATION ELEMENTS

ADDRESS TO:

**Assistant Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231**

1. ☒ Fee Calculation Sheet  
(Submit an original and a duplicate for fee processing)

2. ☒ Applicant claims small entity status

3. ☒ Specification Total Pages **[38]**

- Descriptive title of the invention
- Cross References to Related Applications
- Statement Regarding Fed. Sponsored R&D
- Reference to Microfiche Appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claims
- Abstract of the Disclosure

☐ Drawings (35 U.S.C. 113)

Total Sheets **[20]**

☐ Oath or Declaration

Total Pages **[ ]**

a. ☐ Newly Executed (original or copy)

b. ☐ Copy from a prior application (37 C.F.R. 1.63(d) - for continuation/divisional with Box 18 completed)

**[Mark Box 5 below]**

☐ **DELETION OF INVENTOR(S)**

Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. 1.63(d)(2) and 1.33(b)

☒ Application Data Sheet. See 37 CFR 1.76

- 7 ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (*Appendix*)
- 8 Nucleotide and/or Amino Acid Sequence Submission (*If applicable, all necessary*)
- a. ☐ Computer Readable Copy (CRF)
- b. Specification Sequence Listing on
- i. ☐ CD-ROM or CD-R (2 copies, or
- ii. ☐ Paper
- c. ☐ Statements verifying identify of above copies

ACCOMPANYING APPLICATION PARTS

- 9 ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 C.F.R. 3.73(b) Submission
- ☐ Power of Attorney
11. ☐ English Translation Document (*If applicable*)
- 12 ☐ Information Disclosure Statement with copies of Citations as necessary
- 13 ☐ Preliminary Amendment Total Pages **[ ]**
- 14 ☒ Return Receipt Postcard (*Should be specifically itemized*)
- 15 ☐ Certified Copy of Priority document(s) (*If foreign priority is claimed*)
- 16 ☐ Request and Certification under 35 U.S.C. 122(b)(2)(B)(i) (Nonpublication Request)
- 17 ☐ Other

18. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76.

☐ Continuation ☐ Division

☒ Continuation-in-part (CIP) of prior Application No **US00/17363**

Prior Application Information: Examiner            Group Art Unit           

For CONTINUATION OR DIVISIONAL APPS only The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts

19. CORRESPONDENCE ADDRESS

ATTY NAME  
AND REG. NO.

**Gena M. Chapman**

39,627

SIGNATURE.



ADDRESS

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# FEE TRANSMITTAL

Complete if Known

Application No.

Filing Date

Herewith

First Named Inventor

William J. Swanson

Group Art Unit

Examiner Name

Atty. Docket Number

S697.12-0027

Total Amount of Payment \$ 575.00

## METHOD OF PAYMENT (Check One)

1. ☒ The Commissioner is hereby authorized to charge any additional fee required under 37 C.F.R. 1.16 and 1.17 and credit any over payments to Deposit Account No 11-0982.  
Deposit Account Name: Kinney & Lange, P.A

2. ☒ Check Enclosed

## FEE CALCULATION

### 1. FILING FEE

Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description
100	710	201	355	<input checked="" type="checkbox"/> Utility Filing Fee
101	320	206	160	<input type="checkbox"/> Design Filing Fee
102	710	208	355	<input type="checkbox"/> Reissue Filing Fee
103	150	214	75	<input type="checkbox"/> Prov Filing Fee

Subtotal (1) \$355.00

### 2. CLAIM FEES

Number Claims	Prior	Extra	Fee from Below	Fee Paid
Total	40	20	20	9 = 180.00
Indep	4	3	1	40 = 40.00
Multiple Dependent Claims			0	-0-

Insert 3 and 20, or number previously paid if greater, Reissue see below

Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Description
103	18	203	9	Claims in excess of 20
102*	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple Dependent Claim
109	80	209	40	Reissue Independent Claims Over Original Patent
110	18	210	9	Reissue claims in excess of 20 and over original patent

Subtotal (2) \$220.00

## FEE CALCULATION (Continued)

### 3. ADDITIONAL FEES

Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee paid
105	130	205	65	Surcharge - Late filing fee or oath	—
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	—
139	130	139	130	Non-English specification	—
147	2,520	147	2,520	For Filing a Request for Reexamination	—
115	110	215	55	Extension for reply within first month	—
116	390	216	195	Extension for reply within second month	—
117	890	217	445	Extension for reply within third month	—
118	1,390	218	695	Extension for reply within fourth month	—
128	1,890	280	945	Extension for reply within fifth month	—
120	310	220	155	Filing a brief in support of an appeal	—
121	270	221	135	Request for oral hearing	—
148	110	248	55	Terminal Disclaimer Fee	—
140	110	240	55	Petition to revive - unavoidable	—
141	1,240	241	620	Petition to revive - unintentional	—
142	1,270	242	650	Utility/Reissue issue fee (inc advance copies)	—
143	440	243	220	Design issue fee (inc advance copies)	—
122	130	122	130	Petitions to the Commissioner	—
123	50	123	50	Petitions related to provisional applications	—
126	180	126	180	Submission of Information Disclosure Statement	—
581	40	581	40	Recording each patent assignment per property (times number of properties)	—

Other fee (specify) \_\_\_\_\_

Subtotal (3) \$ -0-

Signature Gena M. Chapman

Reg. No. 39,627

Date February 27, 2001

Deposit Account No. 11-0982